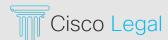


Responsible AI/ML Initiative

February 2023

Katie Shay, Associate General Counsel, Director, Human Rights

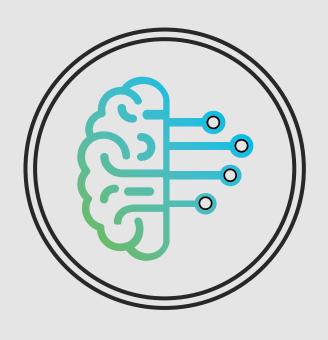








Responsible AI/ML at Cisco





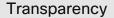
Cisco's Responsible Al Principles

Artificial Intelligence (AI) can be leveraged to power an inclusive future for all. By applying this technology, we have a responsibility to mitigate its potential harms.

We translate our <u>Responsible Al Principles</u> into controls that can be applied to model creation and the selection of training data.

These controls embed Security, Privacy, and Human Rights by Design throughout the model's lifecycle and its application in products, services, and enterprise operations.







Fairness



Accountability



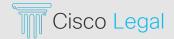
Privacy



Security



Reliability



Cisco's Responsible Al Framework

The Responsible Al Framework operationalizes our principles throughout the company.



Governance & Oversight

Establishes a Responsible Al Committee of senior executives across Cisco business units

- Advises on responsible Al practices and oversees Responsible Al Framework adoption
- Reviews high-risk applications of Al proposed by our business units and incident reports



Controls

Embeds security, privacy, and human rights processes into Al design as part of the existing Cisco Secure Development Lifecycle

- Assesses Al applications involved in decisions that could have adverse impacts
- Applies controls to reduce risk of harm, including unintended bias mitigation, model monitoring, fairness, and transparency



Incident Management

Leverages security, data breach, and privacy incident response system to manage reported AI incidents involving bias and discrimination

- Escalates incidents to the Responsible Al Incident Response Team to address
- Tracks and reports Al incidents and remediation to governance board and other relevant stakeholders



Industry Leadership

Embeds Responsible Al as a focus area for incubation of new technology across Cisco

- Engages with industry innovation providers focused on delivering Responsible Al
- Participates proactively in industry forums to advance Responsible Al, including the Centre for Information Policy Leadership, Equal Al, and the Business Roundtable on Human Rights and Al



External Engagement

Works with governments to understand global perspectives on Al's benefits and risks

- Monitors, tracks, and influences Al-related legislation, emerging policy, and regulations
- Partners with and sponsors cutting-edge research institutions, exploring the intersection of ethics and AI from technical, organizational, social, and design perspectives







Responsible AI/ML Impact Assessments







Responsible AI/ML Impact Assessment Map

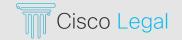
The assessment sections map to Cisco's Responsible Al Principles and controls.

	Section Occurrences	8	8	7	7	5	4
	Responsible Al Principles	Fairness	Reliability	Transparency	Accountability	Privacy	Security
Impact Assessment Sections	Intended and Unintended Use Cases						
	Third Party Rights and Permissions*						
	Training Data Origin, Retention and Disposal						
	Training Data Aggregation and Labeling						
	Model Information						
	Safety, Accuracy and Reliability						
	Fairness						
	Transparency						
	Accountability and Change Management						
	Security						
	Team Composition						



Sample Questions from the Assessment

- 1. What use cases are explicitly out of scope for the AI function?
- 2. What are the implications of foreseeable product failure, misuse, or malicious attack?
- 3. Does this model generate output that results in a consequential decision affecting a user or a certain group of users?
- 4. Has this model been tested for differing outcomes by demographic category?
- 5. Does this model include a mechanism that enables appeal, override, or other actionable recourse when developers or users encounter an inaccurate output?







Case Study: Responsible AI/ML in Webex





Responsible AI/ML in Webex

Responsible Al Impact Assessments focus on the potential impacts of intelligent product components but may not consider the cumulative impacts of those components.



Noise Removal

- Benefits: Noise Removal increases user privacy, representation, and comfort in meetings
- Risks: Pre-release models did not perform as well for higher-pitched voices
- Remediation: Created pitch-balanced test sets, added more high-pitch voices to training data, and expanded the subjective test suite



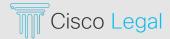
Virtual Backgrounds

- Benefits: Virtual backgrounds can increase user privacy and representation in meetings
- Risks: Pre-release models did not perform as well for all hair textures, hairstyles, or lighting conditions
- Remediation: Added more hair textures, styles, skin tones, and lighting conditions to training data



Webex Assistant

- Benefits: Virtual Assistants can increase meeting accessibility and efficiency in meetings
- Risks: Virtual Assistants may not perform as well for all languages, dialects, accents, or pitches for transcription into captions and translation. Poor transcription contributes to product inaccessibility.
- Remediation: Include diverse, highquality training data appropriate for Webex's use cases





Responsible AI/ML Resources

On Cisco's Approach:

- The Cisco Responsible AI/ML Framework
- Cisco Principles for Responsible Al

On Rights Respecting AI/ML:

- Weapons of Math Destruction, Cathy O'Neil
- Sex, Race, and Robots, Dr. Ayanna Howard
- Tools and Weapons, the Promise and Peril of the Digital Age, Brad Smith

On Responsible Innovation:

- TTC Labs, Responsible Innovation Workshop Toolkits
- All Tech is Human, Responsible Tech Guide
- COMPASS EU, Responsible Innovation Self-Check Tool







CISCO